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**FACSIMILE TRANSMISSION COVERSHEET**

DATE: July 13, 2009  
TO: Examiner Peter Choi  
Group Art Unit: 1794  
RE: **U.S. Patent Application No. 10/762,945**  
**Attorney Docket No.: 02-299**  
**Confirmation No.: 5980**  
FROM: Ramon R. Hoch  
**Customer Number 62753**  
TO FAX NO.: (571) 273-6730

NUMBER OF PAGES (INCLUDING THIS COVER SHEET): 2

Comments:

**Please find attached:**

**(1) Form PTOL-413A (Applicant Initiated Interview Request Form)(1 page(s) total)**

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PTOL-413A (06-09)

Approved for use through 07/31/2009, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

## Applicant Initiated Interview Request Form

Application No.: 10/762,945 First Named Applicant: Dianne Ellis  
 Examiner: Peter Choi Art Unit: 1794 Status of Application: under non-final

### Tentative Participants:

(1) Ramon Hoch (2) \_\_\_\_\_  
 (3) Examiner Choi (4) \_\_\_\_\_

Proposed Date of Interview: July 23, 2009 Proposed Time: 9:00 AM AM/PM

### Type of Interview Requested:

(1) ☐ Telephonic (2) ☒ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☒ YES ☐ NO

If yes, provide brief description: patent and/or web database search results re claim terms.

### Issues To Be Discussed

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>112, 1st</u>	<u>1,2,4-6,10-12,15-</u>	<u>-24)</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) <u>112, 2nd</u>	<u>1,2,4-6,10-12,15-</u>	<u>-24)</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) <u>103(a)</u>	<u>1,2,4-6,12,17,20,</u>	<u>22-24)</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) <u>103(a)</u>	<u>10,11,15,16,18,</u>	<u>19,21)</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Continuation Sheet Attached

### Brief Description of Argument to be Presented:

(1) ID teaching doc(s) on "anionic quats", & search results/docs on recognition in art of meaning of "quaternary amine";  
 criterion in spec. for assessing candidate antimicrobial agents; (2) same as (1) re terms; (3) suskind teaches away (e.g.  
 col. 4,24-28) & martin teaches dual quat and separate slow release 12/KI complex; (4) not antimicrobial tech

An interview was conducted on the above-identified application on \_\_\_\_\_

NOTE: This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

\_\_\_\_\_  
 Applicant/Applicant's Representative Signature

\_\_\_\_\_  
 Typed/Printed Name of Applicant or Representative

\_\_\_\_\_  
 Registration Number, if applicable

\_\_\_\_\_  
 Examiner/SPE Signature

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.